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Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

	Complete if Known
Application Number	10/656,005
Filing Date	September 5, 2003
First Named Inventor	Gary K. Law
Art Unit	2173
Examiner Name	Not Yet Assigned
Attorney Docket Number	06005/39537

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( # known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Palentee or	Pages, Columns, Lines,		
Initials*	No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>8</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
HV		GB-2 394 563	04-28-2004				
HV		WO-03/092044	11-06-2003				

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HV		Search Report under Section 17(5) issued in GB0419583.0 application by the United Kingdom Patent Office on November 26, 2004.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	/Henry Vuu/	Date Considered	10/10/2006

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449A/B/PTO 10/656,005 Application Number INFORMATION DISCLOSURE Filing Date September 5, 2003 STATEMENT BY APPLICANT First Named Inventor Gary K. Law Art Unit 2173 (Use as many sheets as necessary) Not Yet Assigned Examiner Name Sheet 1 1 Attorney Docket Number 06005/39537

Examiner	Cite	Document Number	Issue/Publication Date	Name of Patentee or
Initials*	No.1	Number-Kind Code <sup>2</sup> ( If known)	MM-DD-YYYY	Applicant of Cited Document
HV	Α	5,768,119	06-16-1998	Havekost, et al.
HV	В	6,369,836	04-09-2002	Larson, et al.

	FOREIGN PATENT DOCUMENTS						
	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,		
Examiner Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)	WW-DD-AAAA	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰	

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HV	С	"QUADLOG Safety Matrix," Moore Process Automation Solutions, 6 pages, available at http://www.sea.siemens.com/process/docs/piql-16.pdf, December 1998.	
HV	D	"A structured approach to the specification and implementation of Cause & Effect application logic in safety related PLCs," TUV Rheinland, 4 <sup>th</sup> International Symposium, PLCs in Safety Related Applications, Cologne, 3 <sup>rd</sup> to 4 <sup>th</sup> May 2000, 18 pages.	
HV	E	"Safety Life Cycle Management with Cause and Effect Matrix Tools," William M. Goble and John A. Cusimano, December 31, 1969, Summary found on the web at http://searchpdf.adobe.com/proxies/1/63/15/8.html, 2 pages.	
HV	F	U.S. Patent Application Serial Number 09/707,580 entitled "Integrated Alarm Display in a Process Control Network," which was filed on November 7, 2000.	
HV	G	U.S. Patent Application Serial Number 10/401,655 entitled "Function Block Implementation of a Cause and Effect Matrix For Use in a Process Safety System," which was filed on March 28, 2003.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/Henry Vuu/	Date	10/10/2006
Signature	/ Helity Vaa/	Considered	10/10/2006

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



## Sheet 1 of 1

For PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. | Serial No. | 06005/39537 | 10/656,005 | Applicant | Gary K. Law et al. |

## INFORMATION DISCLOSURE STATEMENT

Gary K. Law et al	
Filing Date	Group
Sept. 5, 2003	Not Yet Assigned

	U	S. PATENT	DOCUMENTS		•	·
*Examiner Initials	Patent Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
HV	6,078,320	6/30/00	Dove et al.	345	333	
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	FOREIGN PATENT DOCUMENTS								
*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation			
initials						Yes	No		
						1			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)				
HV	"Simplified Safety System Programing," Control Engineering Online, Sept. 1, 2000; available at http://www.manufacturing.net/ctl/index.asp?layout=article&articleid=CA211671, printed June 3, 2003.			
HV	"CEM Programming Language Editor," Triconex, available at http://www.triconex.com, printed June 3, 2003.			
HV	"IEC 61131-3: A Standard Programming Resource," available at http://www.plcopen.org/intro_iec/intro_iec.htm, printed May 15, 2003.			

Examiner	/Henry Vuu/	Date Considered	10/10/2006	
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